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NOTICE OF ALLOWANCE AND FEE(S) DUE

69713 7590 11/19/2009
OCCHIUTI ROHLICEK & TSAO, LLP
10 FAWCETT STREET
CAMBRIDGE, MA 02138

EXAMINER

LANDSMAN, ROBERT S

ART UNIT PAPER NUMBER

1647

DATE MAILED: 11/19/2009

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|-------------|----------------------|---------------------|------------------|
| 10/576,995 | 10/04/2006 | Mizhou Hui | 50046-003US1 | 7230 |
| TITLE OF INVENTION: CHIMERIC POLYPEPTIDE AND USE THEREOF | | | | |

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(8) DUE | DATE DUE |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES | \$755 | \$300 | \$0 | \$1055 | 02/19/2010 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FIEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

| appropriate. All further indicated unless correcte maintenance fee notifical | correspondence includir ed below or directed oth | or transmitting the 1880 ig the Patent, advance of herwise in Block 1, by (| rders and notification of r a) specifying a new corres | naintenance fees wi pondence address; | If be mailed to the currer and/or (b) indicating a se | should be completed where nt correspondence address as parate "FEE ADDRESS" for | |
|--|--|--|--|--|--|---|--|
| CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) | | | | Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. | | | |
| 69713 7590 11/19/2009 OCCHIUTI ROHLICEK & TSAO, LLP 10 FAWCETT STREET CAMBRIDGE, MA 02138 | | | | Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmist is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FIEE address above, or being facsimile transmitted to the USPIO (27) 127-2885, on the date indicated between | | | |
| | | | | | | (Depositor's name) | |
| | | | | | | (Signature) | |
| | | | | | | (Date) | |
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| nonprovisional | YES | \$755 | \$300 | \$0 | \$1055 | 02/19/2010 | |
| EXAM | INER | ART UNIT | CLASS-SUBCLASS | 1 | | | |
| LANDSMAN | , ROBERT S | 1647 | 530-350000 | J | | | |
| 1. Change of corresponde | ence address or indicatio | n of "Fee Address" (37 | 2. For printing on the p | atent front page, list | | | |
| CFR 1.363). Change of corresp | ondence address (or Cha 3/122) attached. | inge of Correspondence | (I) the names of up to or agents OR, alternation | 3 registered patent vely, | attorneys I | | |
| | | | (2) the name of a single firm (having as a member a 2 | | | | |
| Fee Address" indication (or "Fee Address" Indication form PTO/SB/47: Rev 03-02 or more recent) attached. Use of a Customer Number is required. | | | registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. | | | | |
| 3. ASSIGNEE NAME A | ND RESIDENCE DATA | A TO BE PRINTED ON | THE PATENT (print or typ | ne) | | | |
| PLEASE NOTE: Uni | ess an assignee is ident | ified below, no assignee | data will appear on the p | atent. If an assigne | e is identified below, the | document has been filed for | |
| (A) NAME OF ASSIG | | action of this form is 140 | (B) RESIDENCE: (CITY | | | | |
| | | | | | | | |
| Please check the appropri | iate assignee category or | categories (will not be p | rinted on the patent): | Individual 🚨 Cor | poration or other private g | roup entity Government | |
| 4a. The following fee(s): | are submitted: | 4 | b. Payment of Fee(s): (Plea | se first reapply an | previously paid issue fe | e shown above) | |
| ☐ Issue Fee | | | A check is enclosed. | | | | |
| | | | Payment by credit car | | | deficiency or credit any | |
| | | | overpayment, to Depo | sit Account Number | (enclose | leficiency, or credit any an extra copy of this form). | |
| Change in Entity State Applicant claim | tus (from status indicate s SMALL ENTITY statu | | ☐ b. Applicant is no lon | ger claiming SMAL | L ENTITY status. See 37 | CFR 1.27(g)(2). | |
| NOTE: The Issue Fee and | d Publication Fee (if req | uired) will not be accepte | | | | the assignee or other party in | |
| | | | | | | | |
| Authorized Signature | | | | | | | |
| Typed or printed name | | | | Registration No | | | |
| This collection of inform an application. Confident submitting the completer this form and/or suggesti Box 1450, Alexandria, V Alexandria, Virginia 223 | ation is required by 37 C tiality is governed by 35 d application form to the ions for reducing this but firginia 22313-1450. DC 13-1450. | CFR 1.311. The information U.S.C. 122 and 37 CFR USPTO. Time will vary rden, should be sent to the DNOT SEND FEES OR | on is required to obtain or r 1.14. This collection is est y depending upon the individence Chief Information Office COMPLETED FORMS TO | etain a benefit by the imated to take 12 m idual case. Any con- er, U.S. Patent and T D THIS ADDRESS. | e public which is to file (a inutes to complete, includ ments on the amount of i rademark Office, U.S. De SEND TO: Commissione | nd by the USPTO to process) ing gathering, preparing, and time you require to complete partment of Commerce, P.O. r for Patents, P.O. Box 1450, | |

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| OCCHIUTI ROHLICEK & TSAO, LLP | | | LANDSMAN, ROBERT S | | |
| 10 FAWCETT STREET | | | ART UNIT | PAPER NUMBER | |
| CAMBRIDGE, MA 02138 | | | 1647 | | |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 447 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 447 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

Notice of Allowability

| Application No. | Applicant(s) | |
|-----------------|--------------|--|
| 10/576,995 | HUI, MIZHOU | |
| Examiner | Art Unit | |
| Robert Landsman | 1647 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address--All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative

- of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308. This communication is responsive to the Amendment filed 9/25/09.
- The allowed claim(s) is/are 1-10,13-21 and 25-28 (renumbered as claims 1-23, respectively).
- 3. Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
 - a) \square All b) ☐ Some* c) ☐ None of the:
 - 1. T Certified copies of the priority documents have been received.
 - 2. Certified copies of the priority documents have been received in Application No. __
 - 3. Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
 - * Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application. THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

- A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
- CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
 - (a) Including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
 - 1) hereto or 2) to Paper No./Mail Date
 - (b) including changes required by the attached Examiner's Amendment / Comment or in the Office action of

Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).

6.

DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- 1. | Notice of References Cited (PTO-892)
- 2. Notice of Draftperson's Patent Drawing Review (PTO-948)
- Information Disclosure Statements (PTO/SB/08).
- Paper No./Mail Date 4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material
- 5. Notice of Informal Patent Application
- Interview Summary (PTO-413), Paper No./Mail Date
- 7. X Examiner's Amendment/Comment
- 8. T Examiner's Statement of Reasons for Allowance
- 9. ☐ Other

/Robert Landsman/

Primary Examiner, Art Unit 1647

Application/Control Number: 10/576,995

Art Unit: 1647

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Jianming Hao on November 13, 2009.

In the claims:

In claim 3, replace the term "TNFR" with "TNF receptor (TNFR)"

In claim 14, replace "A cell" with "An isolated"

In claim 27, replace "and stroke" with "or stroke"

Advisory information

Any inquiry concerning this communication or earlier communications from the caminer should be directed to Robert Landsman, Ph.D. whose telephone number is (571) 272-0888. The examiner can normally be reached on M-F 10 AM – 6:30 PM (eastern).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Manjunath Rao can be reached on 571-272-0939. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (foll-free).

/Robert Landsman/ Primary Examiner, Art Unit 1647